

# How to Encourage and Publish Reproducible Research

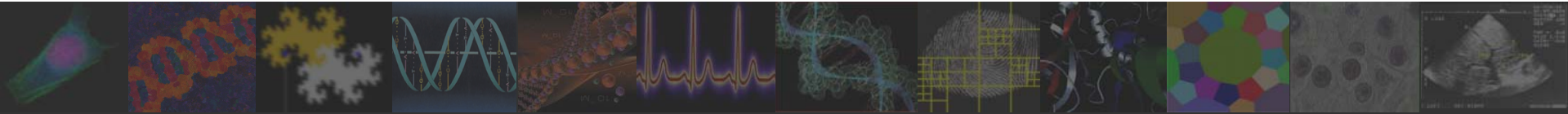
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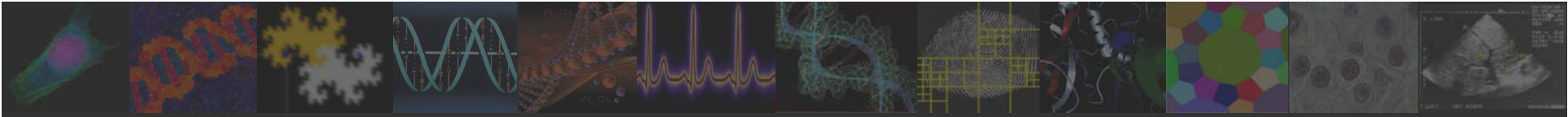
*Carnegie Mellon University*



# Outline

- What is RR and why do we need it?
  - Theory versus experimentation
  - A hybrid is born: Computational sciences
  - Birth of RR
  - Why do we need RR?
- How do we get to RR?
  - Issues to consider
    - Cultural, educational, data, IP
  - Suggested course of action
  - How do we publish RR?
    - How to write RR papers and tools to enable RR
- An entirely nonRR case study





# What is RR and Why Do We Need It?

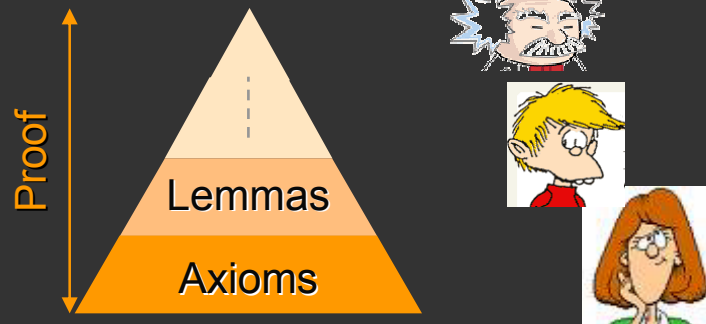
- 1993: Cracking math's oldest brain-teaser
  - Mathematics
  - Proof of Andrew Wiles of Fermat's Last Theorem
  - Took mathematicians and Wiles 2 years to prove/check
  - RR: The proof was "reproduced"/validated by others
- RR
- Cloned human embryos are stem cell breakthrough
  - Biology
  - Suk scandal
  - Cell lines were doctored/scientist coerced into donating eggs
  - RR: The results could not be reproduced
- Concept: in "computational" sciences, the ultimate product is not a published paper but rather the entire environment used to produce the results in the paper (data, software, etc.).
- Natural and obvious: how many of us really do it that way?
- WHY do we need it?



# Theory Vs Experimentation

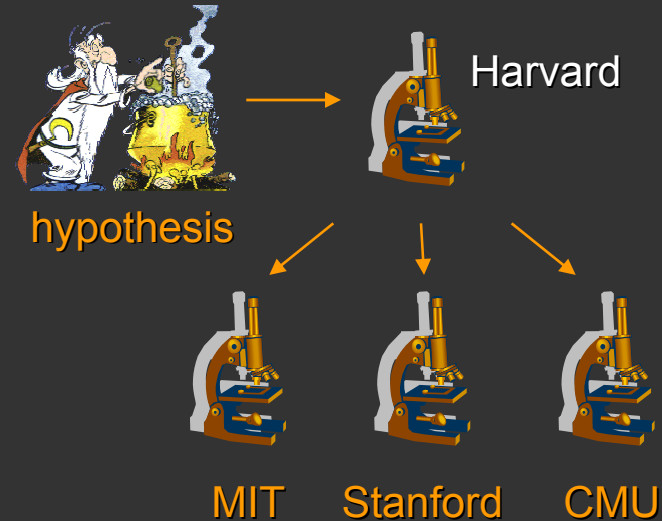
- Theoretical disciplines

- Mathematics



- Experimental disciplines

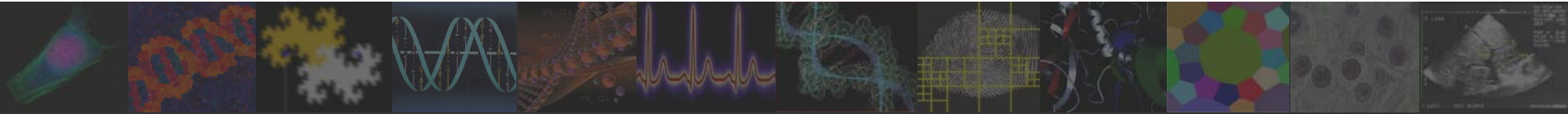
- Biology



- A hybrid is born: Computational sciences

- Should follow good practices from both
- SP falls in there: How are we doing?





# Birth of RR

1984

Knuth

Literate programming

- Programs useless without descriptions
- Extract code from descriptions

early  
1990s

Claerbout, Pouzat

Article only advertisement of scholarship

- Real scholarship: data and software

1995

Buckheit & Donoho

Closer to our area

2000s

Greyer

Requirements for RR

2005

Barni & Perez-Gonzales

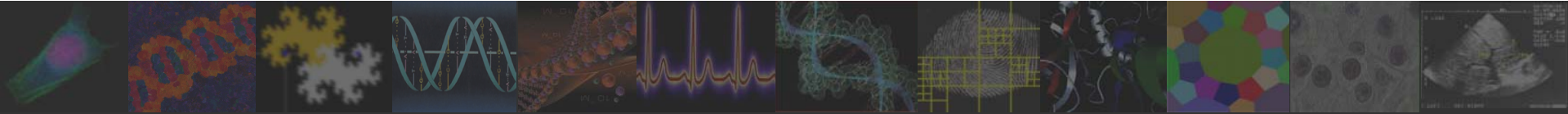
Opinion piece in SP Magazine

2000s

Vetterli  
Vandewalle

Promoting at the EPFL site





# Issues

## ■ Cultural

- Innovation above all else
- TIP Transactions reviewing questions
  - 1. Is the paper technically sound?
  - 2. Is the coverage of the topic sufficiently comprehensive and balanced?
  - 3. How would you describe the technical depth of the paper?
  - 4. How would you rate the technical novelty of the paper?
- Can lead to paradox

## ■ Educational

- Our students undertrained in statistics
- Typically reimplement everything

## ■ Data

- We collaborate and data might not be ours

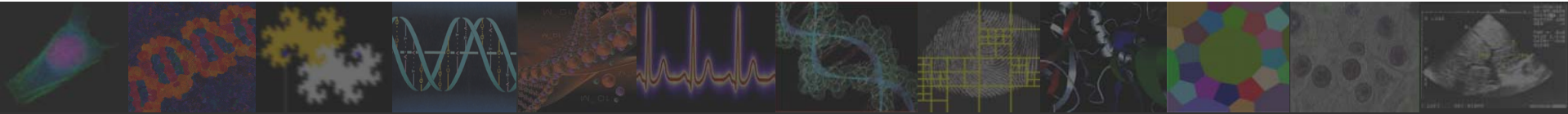
## ■ IP

- Data issues
- Companies and agencies protecting their IP

## ■ Collaborative

- With colleagues within the university/company, outside





# Suggested Course of Action

- Encourage authors to publish first-class, experimental work.
- Encourage authors to submit work which uses a known algorithm in a new setting or with a different type of data.
- Show value of such work by publishing special issues, promoting it through paper awards and training students to perform such work.
- Blueprint for papers accepted for publication
  - Code, software, readme file, ...
- Negotiate for a representative data sample to be available when data is protected.
- Promote the idea of RR with the national funding agencies.
- Develop templates of what should be published and how.  
Develop templates for collaborative work and sharing of data.





# How Do We Publish RR?

- Not likely to happen overnight
  - Encourage and reward “good behavior” (Child psychology 101)
- Ideas
  - Special section in Transactions for RR?
  - Establish a paper award for an RR paper?
  - Form a rough guideline of what each paper should contain for an RR designation?
  - Everything we read is partly “on faith”







# How to Write and Make Papers RR?

## ■ Example

- Used in my group
- Compilation of ideas from Barni and EPFL groups (Vetterli, Vandewalle et al.)
- Compendium (Gentleman & Lang)
  - Freeze the code upon
    - Submission
    - Acceptance
- “Good intentions” (Marziliano) enforced
- Students do projects and reproduce





# An Entirely NonRR Case Study

- Data set
  - 15 papers published in the TIP
  - EDICS category using both theory and experimentation
  - Stayed away from standards as well as biomed
  - For all algorithms, competing ones exist
- Ratings (0, 0.5, 1)
  - Algorithm and experimental setup
    - algorithm explained?
    - data explained?
    - data size?
    - details on parameters used?
    - comparison to competing algorithms?
  - RR
    - block-diagram?
    - pseudo code?
    - data available?
    - code available?
    - proof available?

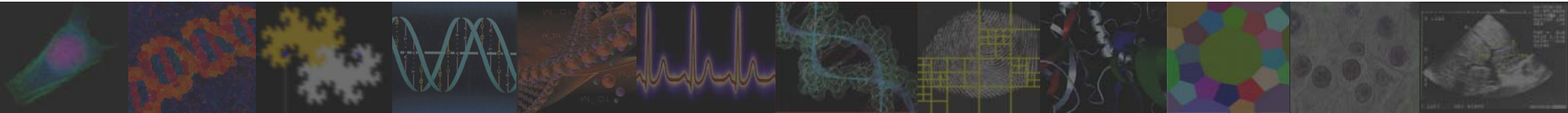


# Results of the Entirely NonRR Case Study

Algorithm and Experimental Setup [%]				
Algorithm details	Data details	Data size	Parameter details	Comparisons
80	33	46	46	26
Reproducible Research Criteria [%]				
Block diagram	Pseudo code	Data available	Code available	Proof available
0	60	33	0	100

- All papers had proofs, none had code available
- Sufficient detail on algorithms, none had a block-diagram
- Data used, data size and availability all below average
- Half of the cases were the parameters specified
- Comparisons to competing algorithms: quarter
- Pleasant surprise: 60%, pseudo-code was available





## Results of the Entirely NonRR Case Study

### ■ How Did I Do?

#### ■ Algorithm and experimental setup

- |                                       |     |
|---------------------------------------|-----|
| ■ algorithm explained?                | 1   |
| ■ data explained?                     | 0.5 |
| ■ data size?                          | 0.5 |
| ■ details on parameters used?         | 0   |
| ■ comparison to competing algorithms? | NA  |

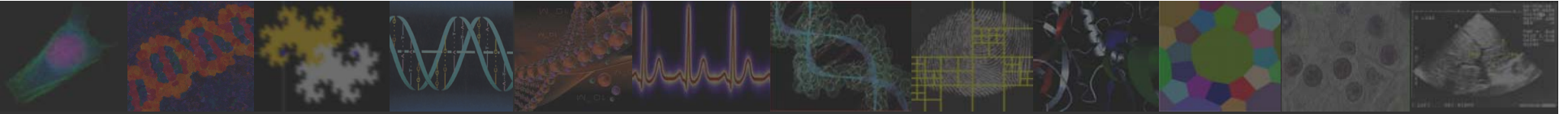
#### ■ RR

- |                                   |    |
|-----------------------------------|----|
| ■ block-diagram of the algorithm? | 0  |
| ■ pseudo code of the algorithm?   | 0  |
| ■ data available?                 | 0  |
| ■ code available?                 | 0  |
| ■ proof available?                | NA |



- So you are left to believe me when I give you the above numbers.
  - Should you? Of course not!

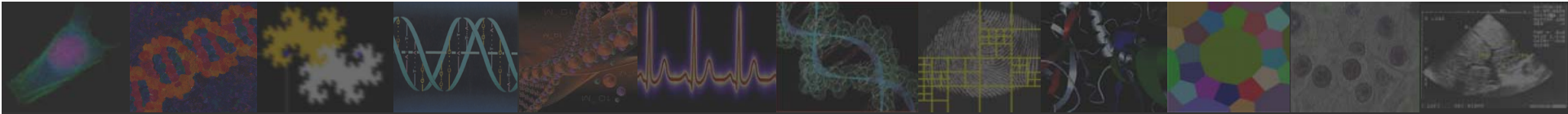




# Can We Make It Happen?

# YES!





# Acknowledgments and Disclaimers

- Thanks!
  - Mauro Barni and Fernando Perez-Gonzalez
  - Martin Vetterli
  - Patrick Vandewalle
  - Members of the TIP Editorial Board
  - Informal email group Mauro and Fernando organized
- Thoughts expressed
  - When not cited, my opinions on the issue

